104745/SYC/ESO

AN IMPROVED METHOD AND DEVICE FOR PROCESSING DATA FOR
GENERATING ALARMS WITHIN A COMMUNICATION NETWORK

Field of the invention

The invention concerns the field of the exchange of
data between equipment in a communications network and more
particularly the field of the management of events
occurring within the said equipment.

Communications networks generally comprise a network
management device (or NMS, standing for "Network Management
System") which is supposed to warn the operator when an
event occurs in an equipment. More precisely, each time an
event occurs within an item of equipment, or in an
apparatus supervised by this equipment, the latter delivers
a notification representing the said event. This
notification, more usually known by the English expression
"Trap" when the network management protocol is the SNMP
protocol (standing for "Simple Network Management Protocol"
RFC 2571-2580), consists of primary data arranged in
primary formats (or protocols). On reception of these
primary data the NMS manager analyzes the content thereof
and then, if it recognizes the first format, it generates
an alarm defined by secondary data arranged in a unique
predefined secondary format (or protocol).

However, because of their great variety, the items of
equipment in a network frequently use different primary
exchange formats which are difficult, or even impossible,
to modify. Consequently NMS managers can recognize only
some of the notifications which they receive.

1

To attempt to remedy this drawback, it has been proposed to equip the NMS manager with a primary data processing module based either on a format correlation tool or on program codes, or again on configuration files. The

5   first solution, based on a correlation tool, uses processings whose slowness is prohibitive. The second solution, based on program codes, requires very expensive development. Finally, the third solution is not sufficiently flexible to be suitable for situations in

10   which the primary formats are fairly different, which is generally the case. In addition, these solutions do not generally make it possible to synchronize or resynchronize the alarm state of the equipment at the NMS manager. Consequently no solution proposed is actually satisfactory.

15   SUMMARY OF THE INVENTION

The purpose of the invention is therefore to remedy all or some of the aforementioned drawbacks.

It proposes for this purpose a data processing device comprising processing means capable of receiving, from

20   equipment in a communications network, primary (or notification) data defining events in at least one primary format and of delivering to a management device in the network (or NMS manager) secondary data defining alarms representing events, in a secondary format.

25   This device is characterized by the fact that its processing means comprise an interpreter (or "scripting engine") provided with conversion rules, arranged in the form of "scripts" associated with the various primary event formats, and arranged so as to convert, by means of these

30   rules, primary data received in one of the primary formats into secondary data in the secondary format which can be

2

interpreted by the management device.

Preferentially, the interpreter is arranged to make its conversions in a secondary configuration file format by means of an interpreted language. More preferentially
5   still, the secondary configuration file format is a format of the XML (standing for "eXtensible Markup Language" type – version 1.0 recommended by W3C), and/or the interpreted language is JavaScript (as defined by ECMA-262 ECMAScript: A general purpose, cross-platform programming language).

10   Also preferably, when the primary data are respectively associated with event identifiers, such as for example object identifiers (or OIDs), the interpreter can be arranged so as to store at least some of the configuration rules in correspondence with known event
15   identifiers. In this case, the interpreter can also be arranged so as to store at least one conversion rule defining a default script intended for the primary data which are associated with an unknown event identifier.

Advantageously, the interpreter can be arranged so as
20   to deduce, from certain received primary (or notification) data, alarm parameters enabling it to deliver a parameterized alarm to the management device. In this case, the alarms can be parameterized by "hard-coded" values and/or values extracted from primary data, and/or
25   values extracted from an item of equipment. In the latter case, the interpreter must be arranged to extract, from an item of equipment in the network whose alarm state is unknown (preferably from its management information base or MIB), chosen information representing its alarm state, and
30   then simulate the sending of primary (or notification) data representing this state information, so as to generate an

3

alarm intended to indicate to the management device the alarm state of the equipment.

Moreover, the primary data are preferentially received in primary formats of the SNMP type (Internet management protocol).

The invention also relates to a network management device (or NMS manager) comprising a processing device of the type presented above.

The invention also relates to a data processing method in which, on reception of primary (or notification) data transmitted by equipment in a communications network and defining events in at least one primary format, there are delivered to a network management device (or NMS manager) secondary data defining alarms representing events, in a secondary format.

This method is characterized by the fact that its generation step consists of converting, by means of conversion rules, arranged in the form of "scripts" associated with the various primary event formats, primary data received in one of the primary formats into secondary data in the secondary format which can be interpreted by the management device.

The method according to the invention can comprise any supplementary characteristics which can be taken separately and/or in combination, and in particular:

- conversion can be carried out in a secondary configuration file format by means of an interpreted language. It is then preferable for the secondary format of the configuration file to be a format of the XML type, and/or for the interpreted language to be JavaScript;

4

- when there are primary data associated respectively with event identifiers, at least some of the conversion rules can be associated with known event identifiers. In this case, it is advantageous for at least one of the conversion rules to be defined by a default script intended for primary data associated with an unknown event identifier;

- certain primary data received can be deduced from the alarm parameters, so as to deliver to the management device an alarm which is parameterized, for example by "hard-coded" values and/or values extracted from the primary data and/or values extracted from an item of equipment;

- it is possible to extract from an item of equipment in the network, whose alarm state is unknown, chosen information representing its alarm state, and then simulate the sending of primary data representing this state information, so as to generate an alarm intended to indicate to the management device the alarm state of the equipment. This extraction preferentially takes place in the management information base of the equipment concerned;

- the primary data are preferentially received in primary formats of the SNMP type.

The invention can in particular be implemented in all network technologies which have to be managed, and in particular in transmission networks (for example of the WDM, SONET or SDH type), data networks (for example of the Internet-IP or ATM type) or voice networks (for example of the conventional, mobile or NGN type).

Other characteristics and advantages of the invention

5

will emerge from an examination of the following detailed description, and from the single accompanying figure which schematically illustrates an example embodiment of a device according to the invention located in an NMS manager of a communications network. This figure is essentially certain in character. Consequently it can not only serve to supplement the invention but also to contribute to its definition, where necessary.

DETAILLED DESCRIPTION OF THE INVENTION

The processing device 1 according to the invention is intended to supply alarms to an NMS (standing for "Network Management System") manager 2 in a communications network, for example of the Internet type. In the example illustrated in the single figure, this device 1 is located in the NMS manager 2 but could be located in an external box coupled to the said NMS manager.

The communications network comprises a multiplicity of network equipment 3, such as for example servers, terminals, switches or routers, able to exchange data with the NMS manager 2 in accordance with a network management protocol.

Hereinafter, it is considered by way of non-limiting example that the communications network is of the Internet (IP) type and that the network management protocol is the SNMP protocol (standing for "Simple Network Management Protocol" RFC 2571-2580). Naturally the invention applies to other types of network, such as for example transmission networks of the WDM, SONET or SDH type, data networks of the ATM type, or voice networks of the conventional, mobile or NGN type, and to other network management protocols, such as for example TL1 or CORBA. The equipment 3 in the

6

network is arranged to deliver to the NMS manager 2 notifications (or messages), here of the "Trap" type, defined by primary data arranged in a primary format (or protocol), here of the SNMP type, whenever an event occurs within them, or in an item of equipment or apparatus which they control. The primary data in a notification consequently define an event which has occurred in an item of equipment 3. A multiplicity of different primary formats can coexist within the network. Moreover, each notification is preferentially associated with an identifier representing a type of event.

The processing device 1 comprises a processing module 4 comprising an interpreter (or "scripting engine") 5 having available a multiplicity of conversion rules arranged in the form of "scripts" associated with a multiplicity of different primary event formats.

More precisely, to each primary format there corresponds a particular script (or conversion rule or rules), preferentially stored in a memory 6 in correspondence with one of the event identifiers contained in the notifications (or Traps). It is also preferable to provide at least one default script for processing (or initiating the processing of) the primary data arranged in a primary format which is associated with an unknown event identifier.

Thus, when an interpreter 5 receives a notification (or Trap), it extracts the event identifier therefrom and determines the configuration rule (or script) stored which corresponds to it. It can then apply this script (or rule) to the primary data defining the notification, so as to generate an alarm defined by secondary data arranged in an

interpreted language and in accordance with a unique secondary format which can be interpreted by a control module 7 of the NMS manager 2. In other words, the primary data received, arranged in a primary format and representing an event, are "converted" into secondary data arranged in a secondary format and in an interpreted language.

On reception of such an alarm, the control module 7 of the NMS manager 2 can then cause the display of the alarm on a control screen of the said NMS manager and/or decide on action or actions to be undertaken in the network in order to take account of the alarm and/or remedy its cause.

The interpreter 5 is arranged, on reception of the primary data, to generate, by means of the script which corresponds to the primary data received, an alarm defined by secondary data. In a preferential embodiment, these secondary data are arranged in the form of an alarm configuration file in a secondary format (or protocol), preferably of the XML (standing for "eXtensible Markup Language") type, and in an interpreted language (or "scripting language"), preferably of the JavaScript type (as defined by ECMA-262 ECMAScript: A general purpose, cross-platform programming language). More preferentially still, version 1.0 of the XML format recommended by W3C is chosen.

Naturally, other interpreted languages (or "scripting languages") and other secondary formats could be envisaged. Thus XML can be replaced by proprietary text formats. Likewise, the JavaScript language of the scripts can be replaced, for example, by VisualBasic, TCL, Perl or Python.

8

In this example, the event identifier, enabling the interpreter 5 to determine the script corresponding to the primary format of the primary data received, is preferentially of the OID type ("Object Identifier" – a simple type of ASN.1 identifier for identifying an object such as an event), since the interpreter language, used by the interpreter 5 for generating the configuration files (secondary data), is JavaScript.

The syntax used for generating the alarm configuration files (or secondary data) is therefore here a combination of XML and JavaScript. More precisely, firstly, the general structure of the file is of the XML type, and secondly the secondary data defining the alarm associated with an OID notification received are always framed by two XML blocks (or "tags"), thirdly each alarm field has a single input, and fourthly each input of the alarm is either a constant or a JavaScript expression.

Thus, when all the alarm inputs are constants, the alarm configuration file is principally of the XML type. For example, it is in the form <SEVERITY>Critical</SEVERITY>. When at least some of the alarm inputs are JavaScript expressions, a maximum amount of flexibility can be obtained. The file is then, for example, in the form <SEVERITY> (trapget("1.2.3.4")==2) ? Critical: Major</SEVERITY>.

Some alarm fields generated may be optional or have a default value.

By virtue of the scripts, it is possible to take full advantage of the information contained in the primary data which constitute the notifications received. Many processings, in particular logic and/or calculational, can

9

thus be applied to the parameters which define the events indicated by the equipment 3 in the network. Consequently the interpreter 5 can not only generate an alarm representing an event but also accompany this alarm with

5 parameters (or parameter values) able to facilitate the processing thereof at the NMS manager 2.

The alarms can thus be parameterized by "hard-coded" values and/or extracted from the notification (or Trap) and/or extracted from equipment from which a notification

10 (or Trap) has been received.

In order to implement this third possibility, the interpreter 5 must be arranged so as to send to an item of equipment from which it has possibly received primary data representing an unknown alarm state a message requesting

15 from it certain information able to allow the determination of the said alarm state. This information is generally contained in the management information base 8 (or MIB) of the equipment 3.

By virtue of this arrangement enabling it to extract

20 information from a distant item of equipment 3, and in particular from its MIB 8, the device according to the invention 1 can fulfill a function of synchronization and resynchronization of the alarm state of each item of equipment. This is because, whenever the NMS manager of

25 the network 2 (or its processing device 1) is restarted or disconnected from the rest of the network, for example in the event of breakdown or maintenance work, it must firstly be resynchronized with respect to the respective alarm states of the equipment 3 in the network which were present

30 when it was disconnected, which states may have changed, and secondly synchronized with respect to the respective

10

alarm states of the new items of equipment 3 in the network, which states are unknown to it. The same applies whenever a new item of equipment 3 is connected to the network or an old item of equipment is reconnected to the network.

This function can be provided by one or more rules, for example stored in the memory 6, or automatically at each switching on and/or whenever the interpreter 5 is advised of a (re)connection by the control module 7 of the NMS manager 2 of the network, or semi-automatically whenever the person responsible for managing the network gives the instruction for this to the interpreter 5.

The (re)synchronization rule or rules are arranged so as to examine the content of the MIB of the designated item or items of equipment 3, so as to extract the information (parameter or parameters or parameter value or values) defining their alarm state or states. However, these rules can also serve to verify or check the value of one or more parameters. As indicated above, in some situations all the equipment in the network which dialogue with the NMS manager 2 can be the subject of an examination by means of (re)synchronization rules.

The (re)synchronization rule or rules can be arranged so as to simulate the sending of a notification (or Trap) within the NMS manager 2. More precisely, they indicate any notifications (or Traps) which the equipment 3 might have sent in order to change from an alarm-free state to its current state. These simulated notifications (or Traps) are then the subject of a conversion similar to that applied to the actual notifications.

The processing module 4 of the device 1 and its

interpreter 5 can be respectively produced in the form of electronic circuits, software (or data processing) modules or a combination of circuits and software.

5   The invention also offers a data processing method in which, on reception of primary data transmitted by equipment 3 in a communications network and defining events in at least one primary format, there are delivered to a management device in the network 2 (or NMS manager) secondary data which define alarms representing these
10   events, in a secondary format.

This can be implemented by means of the processing device presented above. The principal function and the optional subfunctions provided by the steps of this method being substantially identical to those provided by the
15   various means constituting the processing device 1, only the step implementing the principal function of the method according to the invention will be summarized below.

This method is characterized by the fact that its generation step consists of converting, by means of
20   conversion rules, arranged in the form of "scripts" associated with the various primary event formats, primary data, received in one of the primary formats, into secondary data in the secondary format which can be interpreted by the management device 2.

25   By virtue of the invention, it is no longer necessary to have recourse to programming, which reduces the development costs. In addition, the scripts procure a great flexibility in use and a high processing speed (several tens of notifications (or Traps) per second) and
30   allow rapid adaptation to all types of primary format. In addition, the invention allows (re)synchronization.

The invention is not limited to the embodiments of the method and devices described above, solely by way of example, but encompasses all variants which might be envisaged by a person skilled in the art within the scope
5   of the following claims.